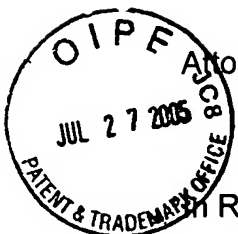


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Attorney's Docket No. 42P18893

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Liu et al.

Examiner: Pak, Sung H.

Application No.: 10/775,737

Art Unit: 2874

Filed: February 10, 2004

For: METHOD AND APPARATUS FOR
POLARIZATION INSENSITIVE PHASE
SHIFTING OF AN OPTICAL BEAM IN
AN OPTICAL DEVICE

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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Yuko Tanaka

(Typed or printed name of person mailing correspondence)

Y. Tanaka

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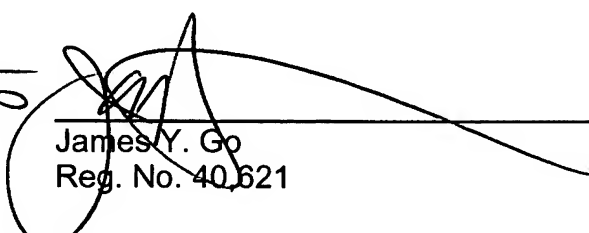
- _____ 37 C.F.R. §1.97(b).
- _____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- _____ A statement pursuant to 37 C.F.R. §1.97(e) or
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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7-25-05


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Substitute for Form 1449/PTO

Complete if Known



INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	10/775,737
Filing Date	February 10, 2004
First Named Inventor:	Liu et al.
Art Unit	2874
Examiner Name	Pak, Sung H.
Attorney Docket Number	42P18893

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	1.	JP	09043557	02-14-1997	NTT Corporation		

Examiner
Signature

Date Considered

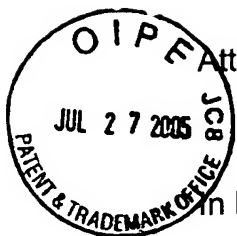
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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/775,737
				Filing Date	February 10, 2004
				First Named Inventor:	Liu et al.
				Art Unit	2874
				Examiner Name	Pak, Sung H.
Sheet	2	of	2	Attorney Docket Number	42P18893
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
	2.	YAMADA, K. et al., "Low polarization dependence (<0.3dB) in an EA modulator using a polyimide-buried high-mesa ridge structure with an InGaAsP bulk absorption layer," ELECTRONICS LETTERS, Vol. 31, No. 3, February 2, 1995, pp. 237-238.			
	3.	TANG, C. K. et al., "Highly efficient optical phase modulator in SOI waveguides," ELECTRONICS LETTERS, Vol. 31, No. 6, March 16, 1995, pp. 451-452.			
	4.	PNG, C. E. et al., "Development of small Silicon modulators in Silicon-On-Insulator (SOI)," Proceedings of SPIE, Vol. 4997, 2003, pp. 190-197.			
	5.	PCT/US2005/001601, PCT Search Report and Written Opinion, June 8, 2005.			

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

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Examiner: Pak, Sung H.

Art Unit: 2874

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STATEMENT UNDER 37 C.F.R. §1.97(e)

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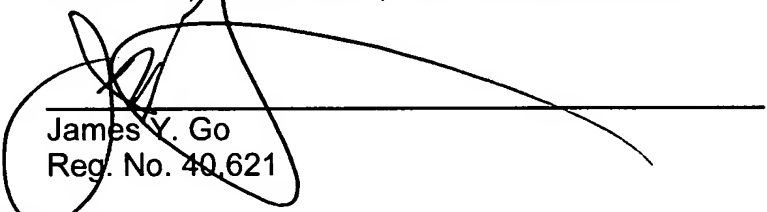
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7-25-05

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